

Title:	Publication Scheme (Freedom of Information)
Type:	Policy and Procedure
Purpose:	To provide public access to information held by public authorities
Scope:	Public access

Freedom of Information Act 2000. **Legal Context:**

Solihull College and University Centre Publication Scheme

Director of Corporate Governance, Risk and Compliance

This publication scheme is in the form prepared and approved by the Information Commissioner. This publication scheme commits Solihull College and University Centre (the 'College') to make information available to the public as part of its normal business activities. The information covered is included in the classes of information mentioned below where this information is held by the College.

The scheme commits the College to:

Responsibility:

- Actively publish or otherwise make available as a matter of routine, information, including environmental information, which is held by the College and falls within the classifications below.
- Specify the information which is held by the College and falls within the classifications below.
- Actively publish or otherwise make available as a matter of routine, information described in this scheme.
- Publish the methods by which information is routinely made available so that it can be easily identified and accessed by members of the public.
- Review and update on a regular basis the information the College makes available under this scheme.
- Produce a schedule of any fees charged for access to information which is made available.
- Make this publication scheme available to the public.

Classes of Information:

The scheme is arranged into the following classes:

Who we are and what we do: Organisational information, locations and contacts, constitutional and legal governance.

What we spend and how we spend it: Financial information relating to projected and actual income and expenditure, tendering, procurement and contracts.

What our priorities are and how we are doing: Strategy and performance information, plans, assessments, inspections and reviews.

How we make decisions: Policy proposals and decisions. Decision-making processes, internal criteria and procedures, consultations.

Our policies and procedures: Current written protocols for delivering our functions and responsibilities.

Lists and Registers: Information held in registers required by law and other lists and registers relating to the functions of the College.

<u>The services we offer:</u> Advice and guidance, booklets and leaflets, transactions and media releases. A description of the services offered.

The classes of information will not generally include:

- Information which the College does not hold;
- Information the disclosure of which is prevented by law or exempt under one of the Freedom of Information Act ('FOIA') exemptions or Environmental Information Regulations exceptions or is otherwise properly considered to be protected from disclosure.
- Information provided by the College to an external website which is readily and publicly available;
- Information in draft form:
- Information which is archived, out of date or otherwise inaccessible;
- Information which would be impractical or resource-intensive to prepare in a format for routine release.

Refusal of Requests

A request may be refused if it is deemed to fall within the following categories:

- It would cost too much or take too much staff time to deal with the request.
- The request is vexatious.
- The request repeats a previous request from the same person.
- Personal data if releasing it would be contrary to the Data Protection Act.
- Exempt under the Freedom of Information Act 2000

Exemptions are determined by applying a public interest test. This means that consideration of the public interest arguments will be made before deciding whether to disclose the information. So, it may be necessary to disclose information in spite of an exemption, where it is in the public interest to do so.

If part or all of the request is being refused a written refusal notice will be issued. A refusal notice must be issued whether the College is refusing to state whether the information is held or confirming that the information is held but the request is being refused.

Publishing datasets for re-use

The College will publish under their publication scheme any dataset they hold that has been requested, together with any updated versions, unless it is satisfied that it is not appropriate to do so. So far as reasonably practicable, the College will publish it in an electronic form that is capable of re- use.

If the dataset or any part of it is a relevant copyright work and the College is the only owner, the College will make it available for re-use under the terms of a specified licence. Datasets in which the Crown owns the copyright or the database rights are not relevant copyright works.

The Datasets Code of Practice recommends that the College make datasets available for re-use under the <u>Open Government Licence</u>. http://www.nationalarchives.gov.uk/doc/open-government-licence/version/3/

The term 'dataset' is defined in section 11(5) of FOIA. The terms 'relevant copyright work' and 'specified licence' are defined in section 19(8) of the FOI Act. The Information Commissioner's Office has published guidance on the dataset provisions in FOIA. https://ico.org.uk/media/for-organisations/documents/1151/datasets-foi-guidance.pdf This explains what is meant by 'not appropriate' and 'capable of re-use'.

The method by which information published under this scheme will be made available

The College will indicate clearly to the public what information is covered by this scheme and how it can be obtained.

Where it is within the capability of the College, information specified in this scheme will be provided on its website. Where it is impractical to make information available on its website or when an individual does not wish to access the information by the website, the College will indicate how information can be obtained by other means and provide it by those means.

In exceptional circumstances some information may be available only by viewing in person. Where this manner is specified, contact details will be provided. An appointment to view the information will be arranged within a reasonable timescale.

Information will be provided in the language in which it is held or in such other language that is legally required. Where the College is legally required to translate any information, it will do so.

Obligations under disability and discrimination legislation and any other legislation to provide information in other forms and formats will be adhered to when providing information in accordance with this scheme.

FOI Register

The College holds a register, which identifies what information has been requested and the action taken to supply the information requested. The register contains the following details:

- Date of Request
- Name and Company of Requester
- Brief Description of Information requested
- Deadline and reminder date
- Fee Charged; and
- Reason for NOT supplying the information requested.

Charges which may be made for information published under this scheme

The purpose of this scheme is to make the maximum amount of information readily available at minimum inconvenience and cost to the public. Charges made by the College for routinely published material will be justified and transparent and kept to a minimum.

Material which is published and accessed on the College's website will be provided free of charge. Charges may be made for information subject to a charging regime specified by Parliament. Charges may be made for actual disbursements incurred such as:

- Photocopying
- Postage and packaging
- Costs directly incurred as a result of viewing information
- For information produced commercially, for example, a book, map or similar publication that you intend to sell and would not otherwise have produced.

Charges may also be made for information provided under this scheme where they are legally authorised, they are in all the circumstances, including the general principles of the right of access to information held by public authorities, justified and are in accordance with a published schedule or schedules of fees which is readily available to the public.

Charges may also be made for making datasets (or parts of datasets) that are relevant copyright works available for re-use. These charges will be in accordance with either regulations made under section 11B of the Freedom of Information Act or other enactments.

If a charge is to be made, confirmation of the payment due will be given before the information is provided. Payment may be requested prior to provision of the information. You can find further details on charges we make for information on our Publication Charges Page – page 14 of this document.

Responsible Officer – Publication Scheme [Freedom of Information]

The Principal & Chief Executive Officer is responsible for the Scheme on behalf of the College. To request information available through our Publication Scheme, please contact by email:

public@solihull.ac.uk or alternatively, in writing to: -

Principal & Chief Executive Officer (Freedom of Information)

Solihull College & University Centre

Blossomfield Road

Solihull

B91 1SB

Information not covered in the College's Publication Scheme

Information held by the College that is not published under this scheme can be requested in writing. The provision of that information will be considered in accordance with the terms of the Freedom of Information Act 2000. A fee may be changed which will be notified to you. You can find further details on charges we make for information not covered in the College's Publication Scheme on our Fees Guidance Page – starting on page 11 of this document.

Once it has received the fee, (if applicable), the College must respond to requests within 20 working days by: -

- 1. Confirming whether it holds the information requested, and
- 2. Either providing a copy or summary of the information, or arranging for the requester to inspect the information;
- 3. Or giving reasons why it has withheld the information.

Applications for information can be made by email: public@solihull.ac.uk, or alternatively, in writing to:

Principal & Chief Executive Officer (Freedom of Information) Solihull College & University Centre Blossomfield Road Solihull B91 1SB

Non-Compliance with the requirements of the Freedom of Information Act 2000

The Director of Corporate Governance, Risk and Compliance will notify the Chair of Corporation and the Chair of Audit Committee in the event of non-compliance with the Freedom of Information Act 2000 occurring and they should be informed of remedial action planned and taken.

Feedback

It is important that this Publication Scheme meets your needs. If you find the Publication Scheme difficult to understand, please let us know. We also welcome suggestions as to how our Publication Scheme might be improved.

Any questions, comments or complaints about this Publication Scheme should be made by email: public@solihull.ac.uk, or alternatively, in writing to:-

Director of Corporate Governance, Risk and Compliance (Freedom of Information) Solihull College & University Centre Blossomfield Road Solihull B91 1SB

If we are unable to resolve any complaint, you can complain to the Information Commissioner, the independent body who oversees the FOIA:

Information Commissioner's Office Wycliffe House Water Lane Wilmslow Cheshire

SK9 5AF

Further information

More information about the FOIA is available of the Information Commissioner's website at: www.ico.org.uk. _

Who we are and what we do		Organisational information, structures, locations and contacts	
1	Legal framework/Instrument of Government/Articles of Association	The corporate status of FE 'Corporations' was conferred by the Further and Higher Education Act 1992. This legislation is publicly available from the UK Legislation website: http://www.legislation.gov.uk/ Solihull College and University Centre was established under the Further and Higher Education Act 1992. The Instruments and Articles of Government are publicly available from the Governors' Handbook https://www.solihull.ac.uk/about-us/college-governance/	
2	How the institution is organised	The College Governance section of our website https://www.solihull.ac.uk/about-us/college-governance/ provides details of the Corporation structure, including terms of reference for each committee. Full details of the College structure, including details of the main curriculum areas and services are available upon request, from the Director of Corporate Governance, Risk and Compliance Full details about the organisation of the College, responsibility links, and Management Team Membership, are available upon request.	
3	Lists of and information relating to organisations with whom the College works in partnership and any companies wholly or partially owned by it	Information identifying the relationship between these bodies (such as business, the professions and the community) and the College, is available upon request.	
4	Location and contact details	https://www.solihull.ac.uk/ Details of the College staff (including senior managers) are available upon request. A full structure chart is not published on our website, but extracts can be supplied if you specify which departments within the College you require.	
5	Student activities / services	Information for student activities can be obtained from the College's website https://www.stratford.ac.uk/about-us/student-services/ or is available upon request.	

What we spend and how we spend it		Financial information relating to projected and actual income and expenditure, procurement, contracts and financial audit The College's financial statements are available at https://www.solihull.ac.uk/about-us/college-governance/ under the Financial Statements section. The financial statements provide information in response to questions listed below. However, if you require information that is not covered in the financial statements you can request this additionally by emailing public@solihull.ac.uk .	
1	Funding/income	Information on the sources of funding and income is available upon request.	
2	Financial statements, budgets and variance reports	Financial information in enough detail to allow the public to see where money is being spent, where the College is or has been planning to spend it, and the difference between the two, is available upon request. Revenue budgets and budgets for capital expenditure are available upon request.	
3	Financial audit reports	A summary report from the External Auditor is available upon request.	
4	Capital Programme	Information on major plans for capital expenditure including any private finance initiative and public private partnership contracts is available upon request.	
5	Financial regulations and procedures	The Financial Regulations set out the regulations for the preparation of budgets, budgetary control and accounting procedures, business planning and the control of College assets, and are available upon request. The Financial Procedures set out the detailed procedures for purchasing and payments, collection of income, tendering, setting and monitoring budgets, and are available upon request.	
6	Staff pay and grading structures	Details of salaries for senior staff, which for the purpose of this document, means staff earning over £100,000 per annum and on the Senior Management Team or equivalent level, are available upon request. Such salaries will be stated in bands of £10,000. Details of levels of pay for more junior posts, will be identified by salary range, and are available upon request. The 'pay multiple' – the ratio between the highest paid salary and the median average salary of the whole of the College's workforce - is available upon request.	

7	Staff allowances and expenses	Details of the allowances and expenses that can be claimed or incurred, including the total of the allowances and expenses paid to individual senior staff members by reference to categories, are available upon request. The College's Expenses Procedure is available at Policies - Solihull College & University Centre	
8	Register of suppliers	The College does not have an approved list of suppliers.	
9	Procurement and tender procedures and reports	Details of procedures used for the acquisition of goods and services, are available upon request.	
10	Contracts	The College does not publish details of its commercial contracts.	
11	Research funding	n/a	
	at our priorities are and how we doing	Strategies and plans, performance indicators, audits, inspections and reviews for the current and previous three years.	
1	Annual Report	The College's Members' Report and Consolidated Financial Statements is available at https://www.solihull.ac.uk/about-us/college-governance/ under the Financial Statements section	
2	Corporate and business plans	The College's Corporate and business plans, are available upon request.	
3	Teaching and learning strategy	The College's Teaching and Learning strategy is available upon request.	
4	Academic quality and standards	The College's Academic quality and standards are available upon request. Information on the College's current internal procedures for assuring academic quality and standards and recent qualitative data on the quality and standards of learning and teaching, is available upon request.	
5	Privacy impact assessments (in full or summary format)	Available as appropriate.	
6	External and internal audit; review information	Information relating to the annual monitoring and review process together with a statement of roles, responsibilities and authority of different bodies within the institution involved in the programme approval and review is available upon request.	
7	Corporate relations	Information relating to the College's links with employers and sponsors, in both the public and private sectors, and the development of learning programmes, is available upon request.	
8	Government and regulatory reports	n/a	

How we make decisions		Decision making processes and records of decisions – Information in this class is available at least for current year and previous three years.	
1	Minutes of formal meetings where key decisions are made about the operation of the College	Minutes are available on the College's website – https://www.solihull.ac.uk/about-us/college-governance/	
2	Agendas, officers' reports, background papers and minutes from governing body, Council/Senate, academic boards, steering groups and committees	Committee minutes are available on the College's website.	
3	Teaching and learning committee minutes	Teaching and learning committee minutes are available upon request.	
4	Minutes of staff/student consultation meetings	Minutes of staff/student consultation meetings are available upon request. The College operates Learner Voice activities throughout the year, including Student Conference, Focus Groups and other events. See College website or information is available upon request.	
5	Appointment committees and procedures for Governors	Search & Governance Committee and the Governors' Handbook including the Instrument and Articles and information about appointment about recruitment procedures are available: https://www.solihull.ac.uk/about-us/college-governance/ Any enquiries about governance can also be emailed to: governance@solihull.ac.uk	
Our policies and procedures		Current written protocols, policies and procedures for delivering our services and responsibilities – Information in this class is current information only	
1	Policies and procedures for conducting College business	Codes of practice, memoranda of understanding, procedural rules, standing orders and similar information are available upon request. The College prefers to receive requests for information under the Freedom of Information Act by email to: public@solihull.ac.uk	
2	Procedures and policies relating to academic services	Some of these policies are already covered in class 3 'What our priorities are and how we are doing' in the context of external review and academic quality and standards. Additional policies under this heading may include such matters as policies and procedures relating to honorary degrees, procedures for changing course, regulations and policy on student assessment, appeal procedures and policy on breach of assessment regulations, all of which are available upon request.	

3	Procedures and policies relating to Student Services	Relevant policies and procedures as they apply, to student admission and registration, accommodation, management of the student records system, the assessment of external qualifications, internal student complaints and appeals, student support services and code of student discipline, are available upon request.	
4	Tuition Fee Policy and Fees Schedule	https://www.solihull.ac.uk/about-us/policies/	
5	Procedures and policies relating to human resources	The full range of human resources policies and procedures such as generic terms and conditions of employment, collective bargaining and consultation with trade unions, grievance, disciplinary, harassment and bullying, public interest disclosure and staff development (such as induction, probation, appraisal, promotions) are available upon request.	
6	Pay policy statement	The College does not have a pay policy statement.	
7	Procedures and policies relating to recruitment	Current vacancies at the College may be found here. https://www.solihull.ac.uk/jobs/	
8	Codes of Conduct for members of governing bodies	The College's Code of Ethics and Conduct for members of governing bodies https://www.solihull.ac.uk/about-us/policies/	
9	Equality and Diversity policies; Equality Scheme	Equality Policy is available here. https://www.solihull.ac.uk/about-us/policies/	
10	Health and Safety	The College's Health and Safety Policy is available here. https://www.solihull.ac.uk/about-us/policies/	
11	Estate management	Information relating to the College's disposal policy, estates strategy and plan, facilities management policies, grounds and building maintenance, is available upon request.	
12	Complaints policies and procedures	Complaints procedures, including those covering requests for information and operating the publication scheme, are available upon request. https://www.solihull.ac.uk/about-us/policies/	
13	Records management and personal data policies	The College's Data Protection Policy may be found here. https://www.solihull.ac.uk/about-us/policies/ Information security policies, records retention, destruction and archive policies, are available upon request. https://www.solihull.ac.uk/about-us/policies/	
14	Fileplans	n/a	
15	Research policy and strategy	n/a	
16	Publicly funded research outputs and data	n/a	

17	Charging regimes and policies	Details of charges made for the provision of information included in this publication scheme may be found on pages 11-14 of this document.
		Details on fees imposed by the College for licensing the re- use of datasets, are available upon request.
		The College has a Conference Centre – information is available at https://www.solihull.ac.uk/employers/conference-centre/
List	s and registers	Information contained only in current maintained lists and registers.
1	Any information the College is currently legally required to hold in publicly available registers	n/a
2	Asset registers	Information relating to asset registers is available upon request.
3	Information asset register	n/a
4	CCTV	Details of the locations of any overt CCTV surveillance cameras operated by or on behalf of the College are available upon request.
5	Disclosure logs	A disclosure log, indicating the information that has been provided in response to requests is available upon request.
6	Any register of interests kept in the College	The register of interests for Governors can be found at https://www.solihull.ac.uk/about-us/college-governance/
7	Senior staff's declaration of interests	Information relating to the names, faculties, departments and job titles of all senior staff who have made entries, is available upon request.
		Information recorded in the 'professional' element of the register is available upon request, subject to the redaction of, for example, home address, or any third party personal data or sensitive personal data.
		All senior staff at the College complete a management representation form annually, which includes the register of interest. This is available upon request.
8	Register of gifts and hospitality provided to senior staff and Governors.	The College's gifts and hospitality register is available upon request.
The	services we offer	Information about the services we offer, including leaflets, guidance and newsletters

1	Information for students including: Prospectus Course content Course fees Welfare and counselling services Careers Sports and recreational facilities Advice and guidance	Information relating to these areas is available on the College's website https://www.stratford.ac.uk/ You can also email info@solihull.ac.uk or phone 0121 678 7000 / 01789 266245 For any other information relating to these areas that is not available through these routes can be obtained as a Freedom of Information request through public@solihull.ac.uk .
2	Services for outside bodies	Information relating to services for outside bodies, is available upon request.
3	Health including medical services	Information relating to health including medical services, is available upon request.
4	Funding, such as grants and bursaries, available to students from the College	Information relating to funding, such as grants and bursaries available to students from the College, is available upon request.
5	Services for which Solihull College is entitled to recover a fee together with those fees	Information concerning College fees and charges is available upon request.
6	Conference facilities	Information concerning the College's conference facilities can be found at https://www.solihull.ac.uk/employers/conference-centre/
7	Media releases	Press releases issued by the College may be found here. https://www.solihull.ac.uk/news-and-events/

Freedom of Information at Solihull College and University Centre: Fees Guidance

How to calculate fees for access to information under the Freedom of Information Act.

This guidance is based on the Freedom of Information Act and the mandatory FOI Fees Regulations, and information provided by the ICO, Information Commissioner's Office https://ico.org.uk/

Is the College allowed to charge a fee for providing information under FOI?

Under the Freedom of Information Act the College is obliged to supply information within 20 working days. The College is entitled to charge a fee for providing this information.

Whenever the College charges a fee, however, it is legally required to issue a Fees Notice to the requester **BEFORE** it supplies the information so the requester can decide whether to continue with the request.

How to manage 20-Day deadlines when charging fees

The deadline of 20 working days is suspended from the date the College sends out the Fees Notice until it receives the fee. When the College receives the fee it should then start again to comply with the request. If the requester does not send the College the fee within three months of the Fees Notice, the

request has lapsed and the College is no longer obliged to provide the information. For this reason it is very important that the Fees Notice is dated

What is the College allowed to charge?

There are two types of charges that may be made for providing information to the public: disbursements and fees.

Disbursements

With **any** request for information the College is **always** allowed to charge for the cost of disbursements. Note that this means the cost of materials, not the time spent doing the photocopying or otherwise collating the information.

Disbursements include the costs of:

- complying with the obligation to supply the information in a specific format, such as on tape, on CD ROM, on paper;
- reproducing any document containing the information, such as by photocopying (at 10p per sheet where the copying is more than a few sheets);
- postage or other form of transmitting the information, such as a courier fee.

Fees for Searching for Information

The College is required by law to calculate time spent responding to requests at no more than £25 per person per hour, regardless of who does the work.

The College **cannot** charge a fee for the first two and a half days' time it takes to search for, sort out, edit and redact (block out or erase) the information. This is judged to be £450 of time (18 hours).

However, if the College reasonably believes that it will take more than 18 hours to respond to a request, it is entitled **either** to refuse the request altogether or bill for all the time taken to respond. This is at the discretion of the Deputy Principal & CFO, the Responsible Officer.

It is also at the discretion of the Deputy Principal & CFO, the Responsible Officer, as to whether the College shall charge for very small fees.

Fees for Disclosure where the cost of compliance exceeds the £450 limit

If the College does decide to provide the information and it will cost more than £450 to do this, the College is allowed to charge the full cost of complying with the request, including the first £450 that it would not normally be allowed to charge.

Remember to send a Fees Notice and receive payment **BEFORE** beginning the work.

What is the College not allowed to charge for?

The College is not allowed to charge for staff time spent:

- considering whether to apply an exemption;
- considering the public interest or prejudice;
- confirming or denying the information is held;
- supplying the applicant with the information.

The College is not allowed to charge a standard flat-rate fee for requests.

Single requests

Disbursements can be charged for any Freedom of Information Request (see above).

If it costs less than £450 in total (two and a half days searching, sorting, editing and redacting plus disbursements) to answer a single request, the College may **only** charge for the disbursements.

If it costs more than £450 (two and a half days searching, sorting, editing and redacting plus disbursements) in total, the College may use its discretion **either**:

- To provide the information and charge the full amount (i.e. £450+) or,
- To refuse the request

Whichever decision is made, the College MUST tell the requester in writing.

Single enquiries: Is it worth recording the time spent complying with a minor request for information?

Yes, it is, because if you receive subsequent requests from the same individual for the same or similar information within 60 working days of the first request the College can treat it as a 'repeated enquiry' (see below) and may be able to charge a higher fee or refuse the request.

Repeated requests

A repeated request is where the College receives subsequent requests from the same individual for the same or similar information within 60 working days of the first request. If the College gets a repeated request it can add the cost of each request together and either:

- refuse to comply with the request because it exceeds the limit of £450 or
- charge the full amount for providing the information.

The College will issue a Fees Notice so the requester can decide whether to pursue the request. **"Campaign" enquiries**

"Campaign" enquiries fulfil each of the following criteria;

- there are two or more requests
- the requests must relate to any extent to the same or similar information
- they are received from different persons
- the requesters appear to be acting in concert or in pursuance of a campaign
- the requests are received within any period of 60 consecutive working days.

As with repeated requests, the rules for a single enquiry still apply, but the estimated cost of complying with any one of the requests is taken to be the total cost of complying with all of them. This is known as "aggregation of related requests". This would normally mean that it would be too expensive to comply with the request; if in doubt, seek advice from public@solihull.ac.uk. The College can make a separate disbursement charge for each person, but only ONE charge for locating, redacting and providing the information.

If a large group of people are making similar requests the College should give serious consideration to publishing the information on a website to save on the cost of responding to each request. If it takes more than two and a half days to find and collate the information it is still at the College's discretion whether it does this, but if a large section of the public is requesting information it is good public relations to take all reasonable steps to provide as much information as possible, without damaging the legitimate interests of others.

What if the College has overestimated the cost of a request and has already received the fee?

If the College has overestimated the cost of the request by more than £5 it must return the difference to the requester.

If the College has underestimated the cost it must use its discretion. If it is a small amount, it may choose not to ask for more money and continue to complete the request. If the College does wish to make another charge, it must issue another Fees Notice. The College must not carry out any more work until it has received the fee.

Publication Charges

Much of the College's information is publicly available through its website or printed publications. From time to time requests are received for printed copies of other information. This information is generally provided for free, although the College reserves the right to levy a charge for providing information in paper copy, multiple copies, large numbers of documents or information which is archived and no longer on the College's website. Charges will be based on costs of retrieval, photocopying, printing and postage. Charges will be communicated to the requester at the time of the request and will be payable in advance.

Charges are made in accordance with the Freedom of Information and Data Protection (Appropriate Limit and Fees) Regulations 2004 and the Environmental Information Regulations 2004.

Please note that other charges may apply for information not covered by the above legislation (such as specialist enquiries/services) and you will be informed about this as appropriate.

In all cases you will be notified of any charges before the information is provided. Please also note that most of this information can be inspected for free by prior appointment. Please email public@solihull.ac.uk for more information.

Author	Date Created	Approved By	Last Reviewed	Next Review Date
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